UIFAND
FINANCIAL INTELLIGENCE UNIT
PRINCIPALITY OF ANDORRA

Communication of application of restrictive measures[1][2]

Legal basis: article 52.2 of Law 14/2017, which establishes the obligation to immediately inform the Permanent Committee (via the UIFAND) about the persons or entities included in the List (in general, persons to whom restrictive measures must be applied).

1. General data about the person presenting the communication

- Legal name (name of the person or reporting entity):

- Representative/s in front of the UIFAND:

- Address:

- Brief description of the activity of the person presenting the communication:

2. Documents attached

☐ Copy of the ID documents of the person for which the communication is presented.

☐ Notary deeds.

☐ Bank statements or documents related to the transaction history in paper.

☐ Bank statements or documents related to the transaction history in electronic means.

☐ Copy of the *Know Your Customer* (KYC) form and documents.

☐ Any other support documentation (specify which):

3. Date, signature and stamp

_____ of _____, _____

Signature of the legal representative Stamp

[1] The translated version of this form is just for informative purposes and cannot be used as an official document.
[2] It is mandatory to attach all annexes, regardless of not possessing all required information.

UIFAND
FINANCIAL INTELLIGENCE UNIT
PRINCIPALITY OF ANDORRA

Annex I – Natural person

1. Customer data[1]

- Total number of reported persons:

- Name and surnames:

- ID document (passport, DNI or others):

- Number of the ID document:

- Nationality:

- Date and place of birth:

- Occupation:

- Residence address:

- Country of residence:

[1] Print the necessary number of copies in order to fill the data with all natural persons.

UIFAND
FINANCIAL INTELLIGENCE UNIT
PRINCIPALITY OF ANDORRA

Annex II – Legal person or entity

1. Customer data[1]

- Total number of reported persons:

- Company name:

- Legal form:

- Company purpose:

- Address:

- Country:

- Names and surnames of the legal representatives:

[1] Print the necessary number of copies in order to fill the data with all legal persons or entities.

UIFAND
FINANCIAL INTELLIGENCE UNIT
PRINCIPALITY OF ANDORRA

Annex III – Beneficial owner[1]

1. Customer data

- Is the customer the beneficial owner? (Yes/No):

2. Comments (optional)

[1] According to article 3.3 of Law 14/2017

UIFAND
FINANCIAL INTELLIGENCE UNIT
PRINCIPALITY OF ANDORRA

Annex IV – Description of the facts and identification of the funds[1]

1. Clear and comprehensive description of the reasons for which the communication is presented

- Description about how you identified that the funds belong to persons or entities designated in the List or to persons or entities associated with them, pursuant to article 48 of Law 14/2017:

- Date in which this fact is known:

- Description of the measures adopted in relation to the funds:

- Information about the person(s) and/or entity(ies) to whom restrictive measures are applied (*name and surnames or legal name / type of ID document / number of the ID document / date and place of birth or incorporation / address / phone number / data on the legal representative of the entity / type of business relationship / start date of the business relationship / etc.)*:

2. Information about the funds

- Type of funds:

- Description of the funds:

- Value of the funds (in euros):

- Internal reference of the funds:

3. Information about the account (if applicable)

- Number of the account:

- Type of account:

- Currency of the account:

- Names and surnames of the holder/s of the accounts and their beneficial owners:

- Opening date of the account:

- Cancellation date of the account:

- Start date of application of restrictive measures:

- Account status (i.e. *active/inactive/dormant*):

[1] Use the space deeemed necessary.

4. Information about transactions (if applicable)

- Date and time of the transaction:

- Transaction amount:

- Currency of the transaction:

- Type of funds involved in the transaction:

- Type of transaction (i.e. *ATM / on-site / mail / phone / others*):

- Description of the transaction:

- Person or entity that carries out or attempts the transaction: